

Indirect Collection Source Schedule

Third Party	Information exchanged	Purpose	Law basis	Notice method
Cloudcheck GBG	→ Client identity data (name, DOB, address, document images) ← Verification result (pass/fail, watchlist/PEP screening outcome)	Meet identity verification and compliance requirements	Anti-Money Laundering and Countering Financing of Terrorism Act 2009	Engagement letter + schedule
AIA, Asteron, Fidelity, Partners	→ Application, client health and financial information ← Underwriting decision, exclusions, risk loadings, premium terms	Arrange or assess insurance options	Not applicable	Engagement letter + schedule
KiwiWRAP, Milford, Generate, Evidential, Synergy	→ Client identity data (name, DOB, address, document images) ← Financial data (cash balances, asset allocation, fund values)	Set up of investment account and ongoing reporting for advisory services	Anti-Money Laundering and Countering Financing of Terrorism Act 2009	Engagement letter + schedule
Consilium	→ Client identity data (name, DOB, address, document images) ← Financial data (cash balances, asset allocation, fund values)	Set up of investment account and ongoing reporting for advisory services	Anti-Money Laundering and Countering Financing of Terrorism Act 2009	Engagement letter + schedule